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Attorney General of Hawaii

2007 SEP -6 AM 10:15

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HAWAII LABOR
RELATIONS BOARD

Attorneys for Director of Labor
and Industrial Relations

STATE OF HAWAII -

HAWAII LABOR RELATIONS BOARD

In the Matter of DIRECTOR,
DEPARTMENT OF LABOR AND
INDUSTRIAL RELATIONS,

Complainant,

vs.

ALOHA ROOFING, INC.,

Respondent.

) CASE NO. OSH 2007-13
) (Inspection No. 310386644)
)
) STIPULATION AND SETTLEMENT
) AGREEMENT; EXHIBITS "A"- "B";
) APPROVAL AND ORDER
)
)
)
)
)

STIPULATION AND SETTLEMENT AGREEMENT

Complainant Director of Labor and Industrial Relations ("Director") and
Respondent ALOHA ROOFING, INC. ("Respondent"), having reached a full and complete
settlement of the above-captioned contested case presently pending before the Hawaii Labor
Relations Board ("Board") stipulate and agree as follows:

On or about March 12, 2007, the Director, by and through the State of Hawaii's
Occupational Safety and Health Division ("HIOSH"), inspected Respondent's workplace located
at 98-550 Kiliohu Loop, Aiea, Hawaii 96701.

As a consequence of said inspection, the Director, through HIOSH's Administrator, issued a Citation and Notification of Penalty on May 17, 2007 ("Citation") to Respondent alleging violations of the Hawaii Occupational Safety and Health Standards and assessed an aggregate penalty of \$6,000.00. *See Exhibit A.*

Respondent timely contested the Citation.

THEREFORE, to avoid the expense of litigation and to compromise and settle the above-captioned case, the parties, by and through their respective representatives, stipulate and agree as follows:

1. The Board has jurisdiction over this contested case pursuant to section 396-11, Hawaii Revised Statutes ("HRS").
2. At all relevant times, Respondent maintained a workplace at 98-550 Kiliohu Loop, Aiea, Hawaii 96701.
3. At all relevant times, Respondent was an employer, as defined in HRS § 396-3, and employed employees, as defined in HRS § 396-3, and was therefore subject to the requirements of HRS chapter 396, the Hawaii Occupational Safety and Health Law.
4. Respondent agrees that it has or will abate each violation listed in the Citation in compliance with the abatement requirements under Hawaii Administrative Rule § 12-51-22. Respondent shall submit the completed abatement certification to HIOSH no later than ten (10) days after this Agreement is filed; failure to timely do so may result in additional penalties or follow up inspections.
5. Respondent also confirms it will do and continue to follow through with the following: (a) implement a new safety meeting to be conducted weekly, every Monday morning; (b) provide each employee with new safety fall protection packages; and (c) counsel

employees that failure to follow all safety guidelines will be grounds for disciplinary actions, up to and including suspension and/or termination as indicated in Respondent's August 14, 2007 letter, attached as Exhibit "B".

6. The violations and corresponding characterizations as stated in the Citation are affirmed. *See* Exhibit A.

7. The Director reduces the aggregate penalty from \$6,000.00 to \$3,000.00 which shall be paid in full to the Director of Budget and Finance not more than ten (10) days after the Board's approval of this Agreement.

8. Except for the stipulated amendments described above, the Citation is confirmed in all other respects and upon approval by the Board, this Agreement and the Citation, as amended, shall become a final order of the Director.

9. Respondent shall post a copy of this Agreement and Order in a prominent place at or near the location of the posting of the Citation, and the Agreement and Order shall remain posted for three working days (excluding weekends and State holidays).

10. Respondent shall continue to comply with HRS chapter 396, the Hawaii Occupational Safety and Health Law, and the related rules.

11. Nothing in this Agreement shall bar the Director from taking any action regarding future acts or practices by Respondent which may be alleged to violate HRS chapter 396, the Hawaii Occupational Safety and Health Law, or the related rules.

DATED: Honolulu, Hawaii, September 6, 2007.

ALOHA ROOFING, INC.

By: 

Its: MANAGER

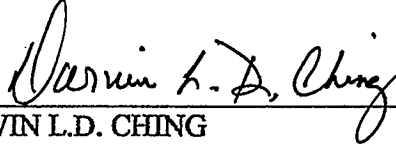
Jothel Fierro

APPROVED AS TO FORM:



J. GERARD LAM
Deputy Attorney General
Attorney for Director of Labor and
Industrial Relations, State of Hawaii

DIRECTOR OF LABOR AND
INDUSTRIAL RELATIONS



DARWIN L.D. CHING

APPROVED AND SO ORDERED BY
HAWAII LABOR RELATIONS BOARD:

ORDER NO. 261


JIM NICHOLSON, Chair
EMORY J. SPRINGER, Member
SARAH R. HIRAKAMI, Member

In re: Director, Dept. Of Labor And Indus. Relations v. Aloha Roofing, Inc., Case No. OSH
2007-12, Stipulation And Settlement Agreement; Exhibits "A"- "B"; Approval And Order.



State of Hawaii
Department of Labor and Industrial Relations
Hawaii Occupational Safety and Health Division
830 PUNCHBOWL STREET, ROOM 425
Honolulu, HI 96813
Phone: (808) 586-9110 FAX: (808) 586-9104

AMENDED

Certified Number: 7005 1820 0003 3812 3614

Citation and Notification of Penalty

To:
Aloha Roofing Inc
and its successors
2004 D Puukapu St
Honolulu, HI 96819

Inspection Number: 310386644 (Charles Flippo)
Inspection Date(s): 03/12/2007 - 03/12/2007
Issuance Date: 05/17/2007
OSHSO ID: D3062
Optional Report No.: 02407
Inspection Type: Referral
Scope of Inspection: Partial Inspection

Inspection Site:
98-550 Kiliohu Lp
Aiea, HI 96701

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty describes violations of the Hawaii Occupational Safety and Health Law. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties, unless within 20 calendar days from your receipt of this Citation and Notification of Penalty, you mail a notice of contest to the State of Hawaii Occupational Safety and Health Division (HIOSH) at the address shown above. Please refer to the enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Law has occurred unless there is a failure to contest as provided for in the Law or, if contested, unless this Citation is affirmed by the Hawaii Labor Relations Board (HLRB) or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and State holidays), whichever is longer. The penalty dollar amounts need not be posted and may be marked out or covered up prior to

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Administrator during the 20 calendar day contest period. During such an informal conference, you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Administrator within 20 calendar days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the page 4, Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Employers' Right to Contest - You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest penalties and/or abatement dates without contesting the underlying violations. Unless you inform the Administrator in writing that you intend to contest the citation(s) and/or penalty(ies) within 20 calendar days after receipt, the citation(s) and the penalty(ies) will become a final order of the Department of Labor and Industrial Relations and may not be reviewed by any court or agency. Once a letter of contest is received, it becomes the jurisdiction of the HLRB.

Penalty Payment - Penalties are due within 20 calendar days of receipt of this notification unless contested. Make your check or money order payable to "Director of Budget and Finance." Please indicate the Inspection Number on the remittance.

HIOSH does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Notification of Corrective Action - For each violation which you do not contest, you are required by Section 12-51-22 to submit an Abatement Certification to HIOSH. The certification must be sent by you within 5 calendar days of the abatement date indicated on the citation. For **Willful and Repeat** violations, documents (example: photos, copies of receipts, training records, etc.) demonstrating that abatement is complete must accompany the certification. Where the citation is classified as **Serious** and the citation states that abatement documentation is required, documents such as those described above are required to be submitted along with the abatement certificate. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item.

All abatement verification documents must contain the following information: 1) Your name and address; 2) the inspection number (found on the front page); 3) the citation and citation item number(s) to which the submission relates; 4) a statement that the information is accurate; 5) the signature of the employer or employer's authorized representative; 6) the date the hazard was corrected; 7) a brief statement of how the hazard was corrected; and 8) a statement that affected employees and their representatives have been informed of the abatement.

The law also requires a copy of all abatement verification documents, required by Section 12-55-22 to be sent to HIOSH, also be posted at the location where the violation appeared and the corrective action took place.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 60 days after the discrimination occurred with the Hawaii Occupational Safety and Health Division at the address shown above.

Employer Rights and Responsibilities - The enclosed booklet (Employer Rights and Responsibilities Following a HIOSH Inspection) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

Employees' Right to Contest - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Hawaii Occupational Safety and Health Division at the address shown above and postmarked within 20 calendar days of the receipt by the employer of this Citation and Notification of Penalty.

Inspection Activity Data - you should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to your inspection will be available 30 calendar days after the Citation Issuance Date. You are encouraged to review the information concerning your establishment at WWW.OSHA.GOV. If you have any dispute with the accuracy of the information displayed, please contact this office.



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with HIOSH to discuss the citation(s) issued on

05/17/2007. The conference will be held at the HIOSH office located at 830 PUNCHBOWL

STREET, ROOM 425, Honolulu, HI 96813 on _____ at _____. Employees

and/or representatives of employees have a right to attend an informal conference.

STATE OF HAWAII

Department of Labor and Industrial Relations
Hawaii Occupational Safety and Health Division

Inspection Number: 310386644
Inspection Dates: 03/12/2007 - 03/12/2007



830 Punchbowl Street, Room 425
Honolulu, HI 96813

Issuance Date: 05/17/2007

Citation and Notification of Penalty

Company Name: Aloha Roofing Inc
Inspection Site: 98-550 Kiliohu Lp, Aiea, HI 96701

Citation 1 Item 1 Type of Violation: **Repeat**

29 CFR 1926.501(b)(10) [Refer to chapter 12-121.2, HAR] was violated because:

Five workers were observed on a 4/12 pitch rooftop 22' above the lower level without fall protection systems in place, thus placing them at risk for a potential fall.

29 CFR 1926.501(b)(10) states "Except as otherwise provided in paragraph (b) of this section, each employee engaged in roofing activities on low-slope roofs, with unprotected sides and edges 6 feet (1.8 m) or more above lower levels shall be protected from falling by guardrail systems, safety net systems, personal fall arrest systems, or a combination of warning line system and guardrail system, warning line system and safety net system, or warning line system and personal fall arrest system, or warning line system and safety monitoring system. Or, or roofs 50-feet (15.25 m) or less in width (see Appendix A to subpart M of this part), the use of a safety monitoring system alone [i.e. without the warning line system] is permitted."

This company was previously cited for a violation of this occupational safety and health standard or its equivalent standard 29 CFR 1926.501(b)(10) which was contained in HIOSH inspection #310385224, Citation 01, Item 001, issued on October 27, 2006, which became a final order on November 17, 2006.

Location: 98-550 Kiliohu Lp, Aiea

Abatement Documentation Required

Date By Which Violation Must be Abated:
Penalty:

Corrected
\$6,000.00

Nelson B. Beitel
for NELSON B. BEITEL
DIRECTOR

See pages 1 through 3 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

State of Hawaii
Department of Labor and Industrial Relations
HAWAII OCCUPATIONAL SAFETY AND HEALTH DIVISION
830 PUNCHBOWL STREET, ROOM 425
Honolulu, HI 96813

SUMMARY OF PENALTIES

Company Name: Aloha Roofing Inc
Inspection Site: 98-550 Kiliohu Lp
Aiea, HI 96701
Issuance Date: 05/17/2007

Summary of Penalties for Inspection Number 310386644

Citation 01, Repeat = \$6,000.00

TOTAL PENALTIES = \$6,000.00

Make check or money order payable to the "Director of Budget and Finance." Please indicate the inspection number and dba, if company name is different, on the remittance. A fee of \$15.00 will be charged for any returned checks.

If receipt is desired, please indicate with payment; otherwise, your cancelled check will be your receipt.

ALOHA ROOFING, INC.

Lic. #C-26465

2004-D Puukapu Street
Honolulu, HI 96819
Ph.: 538-ROOF (7663)
Fax: 845-2882

August 14, 2007

Mr. Gerald Lam
State of Hawaii
Department of Labor and Industrial Relations
Hawaii Occupational Safety and Health Division
830 Punchbowl Street, Room 425
Honolulu, HI 96813

Dear Mr. Lam:

In our efforts to maximize full compliance with the State of Hawaii Occupational Safety and Health Division (HIOSH), we have implemented a new safety meeting to be conducted weekly, every Monday morning. Each of our associate has been provided with new safety fall protection packages.

Furthermore, we have also counseled our employees that failure to follow all safety guidelines will be grounds for disciplinary actions, up to and including suspension and/or termination.

Thank you for your consideration in this matter. Should you have any questions, please feel free to contact me at 753-0805 or 538-7663.

Sincerely,


John Fierro
Aloha Roofing, Inc.

2007 AUG 14 AM 10:06

EXHIBIT B